



Date: April 10, 2001
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Form PTD-200 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	ATTY. DOCKET NO. TRD-001XX	APPLICATION NO. 09/587,619
		APPLICANT: James P. Coppola III	
		FILING DATE: 06/05/2000	GROUP: 2761

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
SY5	6,125,355	09/26/2000	Bekaert et al.	705	36	
SY5	6,012,044	01/04/2000	Maggioncalda et al.	705	36	
SY5	5,918,217	06/29/99	Maggioncalda et al.	705	36	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATED YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

SY5	Are Investors Reluctant To Realize Their Losses?, Terrance Odean, University of California Davis Graduate School of Management, J. 1998.
SY5	Tip-offs from top traders, Ginger Szala et al., <u>Futures</u> , "Managed Money", July 1994, pp. 54 & 56.
SY5	Determining Optimal Risk, Ed Seykota et al., <u>Technical Analysis of Stocks & Commodities</u> , "Money Management", 1993.
SY5	Of Dubious Origin, But Valuable, Ed Seykota, <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
SY5	The Elements of Successful Trading, Van K. Tharp, Ph.D., <u>Technical Securities Analysts Association of San Francisco Newsletter</u> , January-March 1994, p. 2.
SY5	Balancing Act, Mike DeAmicis-Roberts, <u>Futures</u> , "Trading Techniques", September 1995, pp. 46-47.
SY5	Fine tuning money management with f, Murray A. Ruggiero Jr., <u>Futures</u> , "Technology and Trading", September 1995, pp. 48-50.
SY5	Sheldon Knight: Patience as a Virtue, Mark Etzkorn, <u>www.futuresmag.com</u> , "Trader Profile", April 1998.
SY5	A "Scenario Planning" Approach To Optimal Money Management, Ralph Vince, <u>MTA Journal</u> , Summer 1993, pp. 14-21.
SY5	Value at Risk and Technical Analysis, Luis Ballezá, <u>Technical Analysis of Stocks & Commodities</u> , "Novice Trader", August 1999, pp. & 43.

EXAMINER

DATE CONSIDERED

6/17/03

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.